

Attachment A

Open FERC Dockets – First Quarter 2013

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
EC11-60	Duke Energy, Progress Energy, DEC, DEBS, PEC and PESC	Application of Duke Energy, Progress Energy, and their public utility subsidiaries for disposition of facilities under section 203 of the Federal Power Act: FMPPA, New Bern/Rocky Mount and Orangeburg requested rehearing on 7/9/12; Duke Energy and Progress Energy filed a Notice of Consummation of Merger on 7/11/12; and FERC order granted the requests for rehearing for further consideration on 8/8/12. No activity in first quarter 2013 other than Independent Monitor Reports filed.	Q1 2013
ER12-1342	Duke Energy, DEC, DEBS	Power sales agreement related to revised mitigation filing in Docket No. EC11-60: DEC filed a compliance filing on 7/2/12; Orangeburg requested rehearing on 7/9/12; and FERC order granted the requests for rehearing for further consideration on 8/8/12. FERC order accepted the compliance filing on 1/16/13. (Closed 3/31/13)	Q1 2013
ER12-1338, ER12-1347, ER12-3306	DEC, PEC	Joint Dispatch Agreement (JDA) between DEC and PEC and PEC Certificate of Concurrence with JDA: Orangeburg requested rehearing on 7/9/12; DEC / PEC filed compliance filings on 7/11/12; and FERC order granted the requests for rehearing for further consideration on 8/8/12. No activity in first quarter 2013.	Q1 2013
ER12-1343, ER12-1345, ER12-1346, ER11-3307	DEC, PEC	Joint Open Access Transmission Tariff (OATT) and PEC Certificate of Concurrence with Joint OATT: Orangeburg requested rehearing on 7/9/12; DEC / PEC filed compliance filings on 7/11/12; FMPPA intervened on 8/1/12; FERC order granted the request for rehearing for further consideration on 8/8/12. No activity in first quarter 2013.	Q1 2013

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
ER12-1339	PEC	Master Power Purchase Agreement with EDF Trading, LLC, Rate Schedule No. 192, related to revised market mitigation filing in Docket No. EC11-60: PEC filed compliance filing on 7/2/12; Orangeburg requested rehearing on 7/9/12; and FERC order granted the request for rehearing for further consideration on 8/8/12. FERC order accepted the compliance filing on 1/16/13. (Closed 3/31/13)	Q1 2013
ER12-1340	PEC	Master Power Purchase Agreement with Cargill Power Markets, LLC, Rate Schedule No. 193, related to revised market mitigation filing in Docket No. EC11-60: PEC filed compliance filing on 7/2/12; Orangeburg requested rehearing on 7/9/12; and FERC order granted the request for rehearing for further consideration on 8/8/12. FERC order accepted the compliance filing on 1/16/13. (Closed 3/31/13)	Q1 2013
ER12-1341	PEC	Master Power Purchase Agreement with Morgan Stanley Capital Group Inc., Rate Schedule No. 194, related to revised market mitigation filing in Docket No. EC11-60: PEC filed compliance filing on 7/2/12; Orangeburg requested rehearing on 7/9/12; and FERC order granted the request for rehearing for further consideration on 8/8/12. FERC order accepted the compliance filing on 1/16/13. (Closed 3/31/13)	Q1 2013
RM12-4	Duke Energy	Notice of Proposed Rulemaking to approve NERC Reliability Standard FAC-003-2, Transmission Vegetation Management: Duke Energy submitted comments on 12/21/12. FERC issued Order No. 777 on 3/21/13, Final Rule expanding the applicability of FAC-003-2 to include certain transmission lines that operate at less than 200 kV that have been identified as having system limitations (i.e. voltage or transfer limits) that if violated could lead to system instability or cascading outages, 2) setting a new minimum annual inspection requirement and 3) incorporating new minimum clearance distances into the text of the standard, to become effective 5/28/13.	Q1 2013

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
RM12-10	Duke Energy	Solar Energy Industries Association petition to FERC to institute a rulemaking proceeding to update small generator interconnection rules and procedures for solar electric generation: Duke Energy submitted comments on 3/27/12; various parties intervened and commented during second and third quarters 2012; CA PUC submitted additional comments in fourth quarter 2012. FERC held a workshop on 3/27/13.	Q1 2013
RM12-22	Duke Energy	Notice of Proposed Rulemaking (NOPR) that directs NERC to submit for approval Reliability Standards addressing the impact of geomagnetic disturbances (GMD) on the reliable operation of the Bulk-Power System: NOPR was issued on 10/18/12; Duke Energy submitted comments on 12/21/12; various parties filed comments during first quarter 2013.	Q1 2013
RM13-2	Duke Energy	Notice of Proposed Rulemaking to revise the Small Generator Interconnection Procedures (SGIP) and Small Generator Interconnection Agreement in the <i>pro forma</i> OATT to 1) allow an Interconnection Customer to request a pre-application report about system conditions at possible Points of Interconnection, 2) revise the 2 MW threshold for participation in the Fast Track Process, 3) allow supplemental review following failure of Fast Track screens, and 4) revise the SGIP Facilities Study Agreement to allow an Interconnection Customer to comment on upgrades required for interconnection, issued 1/17/13. FERC held a workshop on 3/27/13.	Q1 2013
AC13-1	DEC	Reporting Requirement for Test or Experimental Run Extending Beyond a Period of 90 Days for Cliffside Unit 6, per 18 CFR, Part 101, Electric Plant Instructions, 9.D: DEC submitted report on 3/6/13.	Q1 2013
AD08-7	Progress Energy	Notice of Inquiry (NOI) re methodology for the assessment of electric utility annual charges: FERC issued NOI on 4/21/08; Southern Companies and Progress Energy filed comments on 5/28/08; no activity since third quarter 2008.	Q1 2013

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
AD12-9, AD11-11	Duke Energy	Proposed policy statement on allocation of capacity for new merchant transmission projects and new non-incumbent, cost-based, participant-funded transmission projects, issued on 7/19/12: Duke Energy filed comments on 9/24/12. FERC issued a Final Policy Statement on 1/17/13 which clarified and refined policies governing the allocation of capacity for new merchant transmission projects and new non-incumbent, cost-based, participant-funded transmission projects. NRECA requested clarification and reconsideration on 2/19/13, which FERC subsequently denied on 3/21/13. (Closed 3/31/13)	Q1 2013
EL12-50	Duke Energy, DEC, DEBS	Complaint of FirstEnergy Companies against PJM Interconnection, L.L.C. alleging that provisions of PJM's Open Access Transmission Tariff (OATT) and Operating Agreement related to Auction Revenue Rights allocation process are unjust and unreasonable, filed on 3/26/12: Duke Energy intervened on 4/13/12; FERC order on 7/11/12 granted complaint and required PJM to submit a compliance filing within 60 days. No activity in first quarter 2013.	Q1 2013
EL12-54	Duke Energy, DEC, DEBS	Complaint of Viridity Energy, Inc. against PJM Interconnection, L.L.C. requesting limited and discrete modification to PJM's Open Access Transmission Tariff, filed on 3/29/12: Duke intervened on 4/4/12; Viridity amended complaint on 5/2/12; PJM answered amended complaint on 5/17/12; no activity in first quarter 2013.	Q1 2013

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
EL12-63	Duke Energy, DEC, DEBS	Complaint of Independent Market Monitor (IMM) for PJM (Monitoring Analytics, LLC) against an unnamed participant (Respondent) requesting that FERC direct Respondent to submit a Sell Offer in the Base Residual Auction opening 5/7/12 for the 2015/2016 Delivery Year: IMM complaint was filed on 5/1/12; comments and motions by various parties were submitted in second quarter 2012; no activity in first quarter 2013.	Q1 2013
EL12-66	Duke Energy, DEC, DEBS	Joint Complaint of Exelon Corp. and PSEG Companies requesting Fast Track Processing, providing supporting comments, and requesting consolidation of this docket with EL12-63, filed on 5/8/12; comments and motions were filed by various parties in second quarter 2012; no activity in first quarter 2013.	Q1 2013
EL12-69	Duke Energy, DEC, DEBS	Complaint of Primary Power, LLC requesting Fast Track Processing and Emergency, Interim Relief, filed on 5/14/12: Duke Energy intervened on 5/21/12; FERC order denied complaint on 7/19/12; Primary Power requested rehearing on 8/20/12; FERC order granted request for rehearing for further consideration on 9/17/12; motions were submitted by various parties in fourth quarter 2012. Primary Power on 3/28/13 requested reconsideration and reversal of 7/19/12 order (which had denied earlier complaint against PJM for selecting 2 incumbent Transmission Owners rather than Primary Power to construct own, and finance two static VAR compensator projects).	Q1 2013
EL13-12	Duke Energy, DEC, PEC	Complaint of Dominion Resources Services, Inc. requesting Fast Track Processing for PJM's failure to properly allocate certain charges for Day-Ahead Operating Reserves, an unintended consequence of moving dispatch of certain generation units (to solve local reliability issues) from "Balancing Market" to "Day-Ahead Market" to reduce overall cost of operating reserves: Duke Energy intervened on behalf of DEC and PEC on 11/7/12. FERC order dismissed complaint on 1/28/13. (Closed 3/31/13)	Q1 2013

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
EL13-47	Duke Energy, DEC, PEC, DEBS	Complaint of FirstEnergy Companies requesting Fast Track Processing and urging FERC to direct PJM to amend its Tariff and Operating Agreement so that holders of Financial Transmission Rights are no longer responsible for funding real-time congestion: Duke Energy intervened on 2/13/13; the NCUC intervened on 3/14/13 and submitted comments on 3/18/13; NCEMC intervened and submitted comments on 3/18/13.	Q1 2013
ER10-1760 (PEC), ER10-2566 (DEC)	Duke Energy, PEC, DEC	Change in status filing under Market-Based Rate Tariffs to affirm that Duke Energy / Progress Energy merger did not affect MBR authority: Duke Energy made filing on 8/1/12 on behalf of all subsidiaries with MBR authority; FERC Letter Order on 10/18/12 accepted filing. Duke Energy submitted another Change in Status filing on 1/29/13, to reflect that DEC has added more than 100 MW of generation to its portfolio and to explain why the addition of PEC's new H.F. Lee Plant does not trigger a change in status; FERC letter order accepted filing on 2/27/13.	Q1 2013
ER11-3585	DEC	Formula Rate Annual Update - Recalculation of Annual Transmission Revenue Requirement, an informational filing: on 6/29/12 and 2/20/13 DEC submitted corrections to its 5/15/12 Informational filing.	Q1 2013
ER12-718	Duke Energy, DEC, DEBS	New York Independent System Operator, Inc. (NYISO) revision of its Operating Agreement with PJM Interconnection, L.L.C. to implement a Market-to-Market Coordination Process: Duke intervened on 5/3/12; FERC order conditionally accepted NYISO filing on 9/20/12 and required a compliance filing; NYISO submitted compliance filing on 10/22/12; FERC letter order accepted compliance filing on 12/12/12; PJM and NYISO submitted on 12/24/12 a joint petition for a limited waiver of sections 7 and 10 of Joint Operating Agreement Schedule D to permit the RTOs to temporarily suspend market-to-market coordination and settlement under 2 scenarios. FERC letter order on 1/14/13 granted PJM's and NYISO's request. (Closed 3/31/13)	Q1 2013

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
ER13-83	DEC, PEC	Amendment of Joint OATT Attachment N-1, “Transmission Planning Process (CP&L Zone and DEC Zone),” in compliance with FERC Order No. 1000: DEC submitted filing for both companies on 10/11/12; various parties subsequently intervened in fourth quarter 2012; DEC and PEC filed an answer to protests of NCEMC and LS Power; FERC rejected the DEC/PEC filing on 2/20/13; DEC and PEC subsequently requested rehearing or clarification on 3/25/13.	Q1 2013
ER13-90	Duke Energy, DEC, PEC	PJM Transmission Owners’ revisions to PJM OATT, Schedule 12, re allocation of costs of transmission system expansions and enhancements, filed 10/11/12: Duke Energy intervened on behalf of DEC and PEC on 10/16/12; NCUC intervened on 12/5/12. FERC order on 1/31/13 conditionally accepted PJM’s proposal and suspended the proposed cost allocation methods for filing, subject to refund and to a future order on PJM’s Order No. 1000 compliance filing (Docket ER13-198). On 3/22/13 FERC conditionally accepted PJM’s Order No. 1000 compliance filing and required additional compliance filings in that docket and this one within 120 days.	Q1 2013
ER13-198	Duke Energy	PJM Interconnection, L.L.C. filing in compliance with Order No. 1000: Duke Energy submitted comments on 12/10/12; PJM submitted its Answer in response to comments of various parties on 1/29/13. On 3/22/13 FERC conditionally accepted PJM’s Order No. 1000 compliance filing and required an additional compliance filing within 120 days.	Q1 2013
ER13-305	Duke Energy, DEC, PEC	PJM Interconnection, L.L.C. revisions to Schedule 10.1 of Reliability Service Agreement Among Load Serving Entities in the PJM Region, to add a new Locational Deliverability Area, filed on 11/2/12: Duke Energy intervened on behalf of DEC and PEC on 11/7/12; PJM answered comments on 12/14/12; FERC order accepted filing on 1/3/13. (Closed 3/31/13)	Q1 2013

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
ER13-347	Duke Energy	PJM Interconnection, L.L.C. revisions to PJM OATT and Operating Agreement re Residual Zonal P: Duke Energy intervened 11/26/12. FERC letter order conditionally accepted PJM filing on 1/8/13 and required a compliance filing within 30 days. On 1/30/13 PJM submitted a compliance filing that describes how a Load-Serving Entity's non-nodal load is distributed to buses in a transmission zone for calculating load charges.	Q1 2013
ER13-397	Duke Energy	PJM Interconnection, L.L.C. revisions to PJM OATT Schedule 12 to incorporate cost responsibility assignments for one baseline upgrade in the recent update to the Regional Transmission Expansion Plan (RTEP), filed on 11/16/12: Duke Energy intervened 11/28/12. FERC letter order accepted PJM filing on 2/5/13. (Closed 3/31/13)	Q1 2013
ER13-462	DEC	Amendment of Joint OATT Attachment C, "Methodology to Assess Available Transfer Capability (DEC Zone)," to remove the specific timeframe for Available Flowgate Capability calculation horizons, filed on 11/27/12: DEC submitted filing on 11/27/12 and an amendment on 1/4/13; FERC order accepted filings on 2/20/13. (Closed 3/31/13)	Q1 201-3
ER13-481	Duke Energy, DEC, PEC	PJM Interconnection, L.L.C. revisions to PJM OATT and Operating Agreement to change the allocation methodology for Operating Reserve make-whole payments in PJM's Day-ahead Energy Market, filed on 11/30/12: Duke Energy intervened on behalf of DEC and PEC on 12/4/12; PJM answered comments on 12/21/12. FERC order accepted PJM filing on 1/28/13. (Closed 3/31/13)	Q1 2013
ER13-484	Duke Energy, DEC, PEC	PJM Interconnection, L.L.C. revisions to PJM OATT to modernize the <i>pro forma</i> insurance language in Attachment O (Form of Interconnection Service Agreement), Attachment P (Form of Interconnection Construction Service Agreement) and Attachment GG (Form of Upgrade Construction Service Agreement), filed on 11/30/12: Duke Energy intervened on behalf of DEC and PEC on 12/4/12; FERC order accepted PJM filing on 1/4/13. (Closed 3/31/13)	Q1 2013

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
ER13-488	Duke Energy, DEC, PEC	PJM Interconnection, L.L.C. revision by EP Rock Springs, LLC of a new attachment to PJM OATT, Attachment H-23, formula rate to recover certain costs associated with limited transmission facilities EPRS owns, filed on 11/30/12 and amended on 12/7/12: Duke Energy intervened on behalf of DEC and PEC on 12/20/12. FERC order on 1/31/13 accepted and suspended the filing for the maximum suspension period and established hearing and settlement judge procedures; FERC order on 2/6/13 scheduled a settlement conference on 2/13/13. The Settlement Judge on 2/14/13 reported that the parties were exchanging information, and thus the settlement judge procedures were being continued.	Q1 2013
ER13-508	PEC	Certificate of Concurrence with Interconnection Service Agreement No. 3453 filed by Dominion NC Power on 11/30/12 in FERC Docket No. ER13-477, Rate Schedule No. 196, which replaces the Interchange Agreement between PEC and Dominion (Rate Schedule No. 96): PEC submitted filing 12/4/12. FERC letter order accepted filing on 1/29/13. (Closed 3/31/13)	Q1 2013
ER13-509	PEC	Cancellation of Interchange Agreement with Dominion NC Power (Rate Schedule No. 96), which is being replaced by an Interconnection Service Agreement (Rate Schedule No. 196): PEC submitted filing on 12/4/12. FERC letter order accepted filing on 1/29/13. (Closed 3/31/13)	Q1 2013
ER13-529	Duke Energy, DEC, PEC	PJM Interconnection, L.L.C revisions to PJM OATT Attachment DD to modify the default Avoidable Cost Rates available for use in PJM's Reliability Pricing Model auctions, filed on 12/7/12: Duke Energy intervened on behalf of DEC and PEC on 12/14/12; FERC order accepted PJM filing on 2/4/13. (Closed 3/31/13).	Q1 2013

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
ER13-535	Duke Energy, DEC, PEC	PJM Interconnection, L.L.C. revisions to PJM OATT to implement stakeholder-driven revisions to the minimum offer price rule under PJM's Reliability Pricing Model, filed on 12/7/12; Duke Energy intervened on behalf of DEC and PEC on 12/28/12. PJM answered comments of various parties on 1/8/13. On 2/5/13 FERC advised PJM that its initial filing was deficient, and PJM on 3/14/13 responded to questions raised. Various parties subsequently commented in first quarter 2013.	Q1 2013
ER13-576	DEC	Amendment of Joint OATT Attachment K, "The Independent Entity," Phase One filing that terminates responsibility of Midwest Independent Transmission System Operator to perform certain OATT-related functions on DEC transmission system: DEC submitted filing on 12/18/12; FERC order accepted filing on 1/24/13. (Closed 3/31/13)	Q1 2013
ER13-582	DEC	Amendment of Joint OATT Attachment K, "The Independent Entity," Phase Two filing that terminates responsibility of Midwest Independent Transmission System Operator to perform the remaining OATT-related functions on DEC transmission system: DEC submitted filing on 12/19/12; FERC order accepted filing on 1/24/13. (Closed 3/31/13)	Q1 2013
ER13-805	DEC	DEC OATT Service Agreement No. 210, NITSA with NCEMC, revised to update network resources, in section 3 and the monthly loss calculation in Attachment F, effective 1/1/13; DEC submitted filing on 1/28/13; FERC letter order accepted filing on 3/22/13. (Closed 3/22/13)	Q1 2013
ER13-809	DEC	DEC OATT Service Agreement Nos. 208 (revised NITSA) and 406 (revised Meter Agreement) with SCPSA and Nos. 447 (new NITSA) and 448 (new Meter Agreement) with Central EPC: DEC submitted filing on 1/28/13; FERC letter order accepted filing on 3/22/13. (Closed 3/22/13)	Q1 2013

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
ER13-827	PEC	PEC OATT Service Agreement No. 328, conditional firm point-to-point service agreement with NCEMC, effective 1/1/13: PEC submitted filing on 1/28/13; NCEMC intervened on 2/20/13; FERC letter order accepted filing on 3/14/13. (Closed 3/14/13)	Q1 2013
ER13-831	PEC	PEC OATT Service Agreement No. 326, new NITSA with French Broad EMC, to be effective 1/1/13: PEC submitted filing on 1/30/13; FERC letter order accepted filing on 3/1/13. (Closed 3/1/13)	Q1 2013
ER13-908	DEC, PEC	Southern Companies' Order No. 1000 Regional Compliance Filing, submitted 2/8/13: DEC and PEC intervened on 3/4/13.	Q1 2013
ER13-959	DEC	Amendment of Joint OATT Schedule 10-B to correct calculation of DEC's Annual Transmission Revenue Requirement in its formula rate: DEC submitted filing on 2/20/13; CEPC and NCEMC intervened on 3/13/13.	Q1 2013
ER13-1052	Duke Energy, DEC, PEC	PJM and MISO revisions to their Joint Operating Agreement to revise section 4.1 of the Congestion Management Process to calculate Market Flows using the same Per Generator Methodology used to calculate Firm Flow Entitlements, filed on 3/7/13: Duke Energy intervened on behalf of DEC and PEC on 3/27/13.	Q1 2013
ER13-1054	Duke Energy, DEC, PEC	PJM and MISO revisions to their Joint Operating Agreement to revise section 4.1 of the Congestion Management Process to calculate Market Flows that use a method to scale loads for tagged import transactions in a manner similar to that currently used to scale generation for tagged export transactions, filed on 3/7/13: Duke Energy intervened on behalf of DEC and PEC on 3/27/13.	Q1 2013

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
FA11-6	Progress Energy	Audit of Progress Energy, Inc. compliance with cross-subsidization restrictions on affiliate transactions; accounting, recordkeeping and reporting requirements; Uniform System of Accounts; preservation of records for holding companies and service companies; and Form No. 60: FERC initiated audit 11/23/10; Progress submitted responses to data requests; FERC letter order approved audit findings and recommendations on 9/20/12, requiring compliance filings; Progress submitted its Implementation Plan on 10/22/12 and its quarterly status report on implementation of corrective items on 1/30/13.	Q1 2013
NP13-20	DEC	Notice of Penalty for violation of Reliability Standards PRC-001-1 R1 and R4 and PRC-005-1 R1: North American Electric Reliability Corp. (NERC) submitted filing on 12/31/12, stating that DEC and SERC entered into a Settlement Agreement to resolve all outstanding issues. FERC notice advised that the Commission would not further review this matter on 1/30/13. (Closed 3/31/13)	Q1 2013
P-432	PEC	Walters Hydroelectric Project. Notice of Licensee Name Change effective 4/29/13 (from Carolina Power & Light Company d/b/a Progress Energy Carolinas, Inc. to Duke Energy Progress, Inc. d/b/a Duke Energy Progress) was filed with FERC on 3/7/13.	Q1 2013
P-2206	PEC	Yadkin-Pee Dee Hydroelectric Project – Relicensing: operating under annual licenses since expiration of prior license in 2008. Notice of Licensee Name Change effective 4/29/13 (from Carolina Power & Light Company d/b/a Progress Energy Carolinas, Inc. to Duke Energy Progress, Inc. d/b/a Duke Energy Progress) was filed with FERC on 3/7/13.	Q1 2013
P-2211	Duke Energy	Markland Hydroelectric Project.	Q1 2013

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
P-2232	DEC	Catawba-Wateree Hydroelectric Project – Relicensing: operating under annual licenses since expiration of prior license in 2008.	Q1 2013
P-2331	DEC	Ninety-Nine Islands Hydroelectric Project.	Q1 2013
P-2332	DEC	Gaston Shoals Hydroelectric Project.	Q1 2013
P-2380	PEC	Marshall Hydroelectric Project. Notice of Licensee Name Change effective 4/29/13 (from Carolina Power & Light Company d/b/a Progress Energy Carolinas, Inc. to Duke Energy Progress, Inc. d/b/a Duke Energy Progress) was filed with FERC on 3/7/13.	Q1 2013
P-2503	DEC	Keowee-Toxaway Hydroelectric Project – Relicensing: current license expires in 2016; notice of intent was filed, and pre-application work is underway.	Q1 2013
P-2601	DEC	Bryson Hydroelectric Project.	Q1 2013
P-2603	DEC	Franklin Hydroelectric Project.	Q1 2013
P-2619	DEC	Mission Hydroelectric Project.	Q1 2013
P-2686	DEC	West Fork Hydroelectric Project.	Q1 2013
P-2692	DEC	Nantahala Hydroelectric Project.	Q1 2013
P-2694	DEC	Queens Creek Hydroelectric Project.	Q1 2013
P-2698	DEC	East Fork Hydroelectric Project.	Q1 2013
P-2740	DEC	Bad Creek Hydroelectric Project.	Q1 2013
QF11-484	Duke Energy	Small Power Producer Qualifying Facility Status Self-Certification Application of CS Murphy Point, LLC, amended to reflect change in ownership (to include Duke Energy Corp.) on 1/17/13.	Q1 2013

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.11
QF12-327	DEC	Qualifying Facility Status Self-Certification Application of Apple, Inc. for its fuel cell facility near Maiden, NC: DEC intervened on 2/26/13.	Q1 2013

Attachment B

Periodic Reports Filed with FERC—First Quarter 2013

Docket No(s).	Filing Entity (Duke Energy, DEC, DEBS)	Description of Report
N/A	DEC, PEC	Form 3-Q – Quarterly Financial Report of Major Electric Utilities, Licensees and Others
AD12-8	DEC, PEC	Non-ISO/RTO Performance Metrics Report
ER05-1236	DEC, PEC	Independent Entity Independent Market Monitor Reports submitted quarterly by Potomac Economics, Ltd
LA12-4	Duke Energy, DEC, PEC	Quarterly Generation Site Acquisition Report, per Order No. 697-C
N/A	DEC, PEC	Form 566, Twenty Largest Purchasers
RM94-14	DEC, PEC	Order No. 580 Decommissioning Report
N/A	DEC	Form 715, Annual Transmission Planning and Evaluation Report